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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shuichi TAKEUCHI et al.

Group Art Unit : 2873

Appl. No. : 10/761,318

Examiner : Not Yet Assigned

Filed : January 22, 2004

For : OPTICAL SYSTEM OF OPTICAL PICK-UP

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir :

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98,  
Applicants call to the Examiner's attention the following documents:

Japanese Laid-Open Patent Publication No. 2000-81566, which was published on  
March 21, 2000, together with patent family member U.S. Patent No. 6,118,594 to  
MARUYAMA, which issued on September 12, 2000. Applicants note that the Japanese  
patent document is discussed on page 2 of the specification;

Japanese Laid-Open Patent Publication No. HEI 11-337818, which was published  
on December 10, 1999, together with patent family member U.S. Patent No. 6,191,889 to  
MARUYAMA, which issued on February 20, 2001. Applicants note that the Japanese  
patent document is discussed on page 3 of the specification;

Japanese Laid-Open Patent Publication No. HEI 9-43510, which was published  
on February 14, 1997, together with patent family member U.S. Patent No. 5,754,513 to  
YAGI et al., which issued on May 19, 1998. Applicants note that the Japanese patent  
document is discussed on pages 4 and 5 of the specification;

Japanese Laid-Open Patent Publication No. 2000-338395, which was published on December 8, 2000, together with patent family member European Patent Application Publication No. EP 1 056 075, which was published on November 29, 2000. Applicants note that the Japanese patent document is discussed on pages 5 and 6 of the specification;

U.S. Patent No. 5,446,565 to KOMMA et al., which issued on August 29, 1995;

Japanese Laid-Open Patent Publication No. HEI 9-145994, which was published on June 6, 1997, together with an English language translation and an English language Abstract of the same; and

U.S. Patent No. 6,473,387 to MARUYAMA et al., which issued on October 29, 2002.


Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the U.S. patents are not enclosed herewith. However, if any copies are needed, the Examiner is requested to contact the undersigned.

Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents are attached and all of the documents have been listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the Form to the Applicants with the next official communication in the present application to confirm consideration of these documents.

Applicants note that an Office Action on the merits has not issued in the instant application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p), to the undersigned's Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Shuichi TAKEUCHI et al.

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FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P24453Application No.  
10/761,318INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

Applicant  
Shuichi TAKEUCHI et alFiling Date  
January 22, 2004Group  
2873

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	1	1	8	5	9	4	09/12/00	MARUYAMA			
		6	1	9	1	8	8	9	02/20/01	MARUYAMA			
		5	7	5	4	5	1	3	05/19/98	YAGI et al			
		5	4	4	6	5	6	5	08/29/95	KOMMA et al			
		6	4	7	3	3	8	7	10/29/02	MARUYAMA et al			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
20	0	0	-	8	1	5	6	6	03/21/00	JAPAN				
1	1	-	3	3	7	8	1	8	12/10/99	JAPAN				
		9	-	4	3	5	1	0	02/14/97	JAPAN				
200	0	-	3	3	8	3	9	5	12/08/00	JAPAN				
		1	0	5	6	0	7	5	11/29/00	E.P.O				
	9	-	1	4	5	9	9	4	06/06/97	JAPAN			x	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		1	English Language Abstract of JP 9-145994.											

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.